



U.S. Department of Transportation  
Federal Highway Administration

## COMPLIANCE REVIEW

U.S. DOT NO.

STATE NO.

DATE

PAGE OF

50. REASON FOR REVIEW: (may circle more than 1)

- A. Initial Rating \_\_\_\_\_  
B. Complaint: No. \_\_\_\_\_  
C. SCE Rating \_\_\_\_\_  
D. Enforcement Follow-Up \_\_\_\_\_  
E. Carrier Request \_\_\_\_\_  
F. Other \_\_\_\_\_

51. PLANNED COURSE OF ACTION: (may circle more than 1)

- A. Compliance Monitoring \_\_\_\_\_  
B. Prosecution: No. \_\_\_\_\_  
C. Out-of-Service Order \_\_\_\_\_  
D. Administrative Handling \_\_\_\_\_

52. 49 CFR

PARTS  
REVIEWED  
CERTIFICATION  
(CR or NA)

A.	B.	C.	D.	E.	F.	G.	H.	I.	J.	K.	L.	M.	N.	O.	P.	Q.	R.	S.
325	382	383	387	390	391	392	393	395	396	397	398	399	171	172	173	177	178	180

53. RECORDABLE ACCIDENT INFORMATION (Prior 365 Days)

- A. Total Recordable Accidents \_\_\_\_\_  
B. Preventable-Recordable Accidents \_\_\_\_\_  
C. Preventable-Recordable Accidents per Million Miles \_\_\_\_\_

54. PRIOR SAFETY/COMPLIANCE REVIEWS

- A. \_\_\_\_\_  
B. \_\_\_\_\_  
C. \_\_\_\_\_

55. SPECIAL STUDIES CODE

FOLLOWUP:

a. State b. Federal

1. \_\_\_\_\_  
2. \_\_\_\_\_  
3. \_\_\_\_\_  
4. \_\_\_\_\_

PLACARDABLE QTY/HM

c. Yes d. No

56. PROSECUTIONS

- A. \_\_\_\_\_  
B. \_\_\_\_\_  
C. \_\_\_\_\_

57. OUT-OF-SERVICE INFORMATION

OOS Vehicles (CR) \_\_\_\_\_  
No. of Vehicles Inspected (CR) \_\_\_\_\_  
OOS Vehicles (MCMIS) \_\_\_\_\_  
No. of Vehicles Inspected (MCMIS) \_\_\_\_\_  
OOS Vehicle Rate (Combined) \_\_\_\_\_

58. ANTICIPATED SAFETY RATING

	Violations	
	# of Acute	# of Critical
Factor 1: _____	_____	_____
Factor 2: _____	_____	_____
Factor 3: _____	_____	_____
Factor 4: _____	_____	_____
Factor 5: _____	_____	_____
Factor 6: _____	_____	_____
	Satisfactory	Conditional Unsatisfactory

59. REMARKS:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

PRINCIPAL REVIEWER SIGNATURE

CODE NO.

UPLOAD AUTHORIZED: YES NO

Authorized by: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

ASSISTANT REVIEWER SIGNATURE

CODE NO.

UPLOAD AUTHORIZED: YES NO

Authorized by: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

